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Attorney Docket No. P1405R1C1
PATENT

11/20/01
J1060 U.S. PRO

970066/60
11/20/01

CERTIFICATION UNDER 37 CFR 1.10

EV 016026972 US: Express Mail Number November 20, 2001: Date of Deposit

I hereby certify that this Non-provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, Virginia 22202.

Glory L. Tabuena
Glory L. Tabuena

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, Virginia 22202

NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

**Frederic J. de Sauvage, Foster City, California
David A. Carpenter, San Francisco, California**

Title: **Patched-2 Antibodies**

1. Type of Application

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. __, filed __, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☐ continuation-in-part ☒ continuation ☐ divisional application claiming priority to application Serial No. 09/293,505, filed 15 April 1999, which claims the benefit of provisional Application No. 60/081,884, filed 15 April 1998, the entire disclosure of which is hereby incorporated by reference.

2. Papers Enclosed Which are Required for Filing Date Under 37 CFR 1.53(b) (Non-provisional)

96 pages of specification

3 pages of claims

1 page(s) of abstract

27 sheet(s) of drawings

☐ formal ☒ informal

09990046-112001

3. Declaration or Oath

(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)

 x An executed declaration of the inventor(s) ☐ is enclosed ☒ will follow.

(for Cont./Div. where inventorship is the same or inventor(s) being deleted)

 A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

(for Cont./Div. where inventor(s) being deleted)

 A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

4. Assignment

(for new and CIP applications)

 An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☐ will follow.

(for cont./div.)

 x The prior application is assigned of record to Genentech, Inc.

5. Amendments (for continuation and divisional applications)

 x A Preliminary Amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

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T002T 9406660

6. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a)		
			\$740.00		
Total Claims	26	- 20 =	6	X \$18.00	\$84.00
Independent Claims	3	- 3 =	0	X \$84.00	\$0.00
Multiple dependent claim(s), if any				+ \$280.00	\$0.00
Filing Fee Calculation					\$824.00

7. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$824.00. **A duplicate copy of this transmittal is enclosed.**

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

9. Additional Papers Enclosed

- ☒ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
☒ Letter and Request to Use Computer-readable Sequence Listing Under 37 C.F.R. §1.821(e)
☐ A new Power of Attorney or authorization of agent.
☐ Other:

10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- _____ A petition, fee and/or response has been filed to extend the term in the pending prior application until _____
 _____ A copy of the petition for extension of time in the **prior** application is attached.

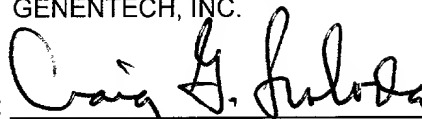
11. Correspondence Address:

X Address all future communications to:

Attn: Craig G. Svoboda
1 DNA Way
South San Francisco, California 94080-4880

Respectfully submitted,
GENENTECH, INC.

By:



Craig G. Svoboda
Reg. No. 39,044
Telephone No. (650) 225-1489

Date: November 20, 2001

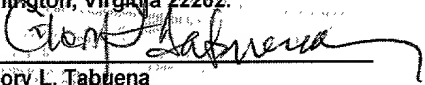


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PATENT TRADEMARK OFFICE

09157-94080-4880

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of de Sauvage <i>et al.</i></p>	<p>Group Art Unit: not assigned Examiner: not assigned</p>
<p>Serial No.: not assigned</p>	<p>CERTIFICATION UNDER 37 CFR 1.10</p>
<p>Filed: herewith</p>	<p>EV 016026972US: Express Mail Number November 20, 2001: Date of Deposit</p>
<p>For: <i>Patched-2 Antibodies</i></p>	<p>I hereby certify that this Non-provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, Virginia 22202.</p> <p> Glory L. Tablerna</p>

LETTER AND REQUEST TO USE COMPUTER-READABLE SEQUENCE LISTING
UNDER 37 CFR §1.821(e)

Box PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, Virginia 22202

Sir:

Applicants respectfully request that the compliant computer-readable Sequence Listing filed in application Serial No. 09/293,505 be used as the computer-readable Sequence Listing for the present, above-identified application.

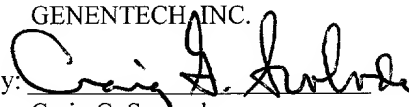
The paper copy of the Sequence Listing filed in the present application is identical to the computer-readable copy of the Sequence Listing filed in the application Serial No. 09/293,505.

Respectfully submitted,

GENENTECH, INC.

Date: November 20, 2001

By:



Craig G. Svoboda

Reg. No. 39,044

Telephone No. (650) 225-1489



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09/293,505